



FORM PTO-1449

FIRST SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.  
1481.0310000APPLICATION NO.  
10/695,445FIRST NAMED INVENTOR  
Frederic FOXFILING DATE  
October 29, 2003ART UNIT  
3623

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
J	US1	2002/0038217 A1	03/2002	Young			
	US2	2002/0084900 A1	07/2002	Peterson <i>et al.</i>			
	US3	2002/0178077 A1	11/2002	Katz <i>et al.</i>			
	US4	2003/0107490 A1	06/2003	Sznaider <i>et al.</i>			
	US5	2005/0096947 A1	05/2005	Fox <i>et al.</i>			
	US6	2005/0154531 A1	07/2005	Kelly <i>et al.</i>			
	US7	3,808,410	04/1974	Schlesinger			
	US8	4,015,366	04/1977	Hall, III			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
J	FP1	DE 41 14 584 A1	11/1991	DE			Yes <input checked="" type="radio"/> No
	FP2	2 732 477	10/1996	FR			Yes <input checked="" type="radio"/> No
	FP3	2 751 774	07/1996	FR			Yes <input checked="" type="radio"/> No

## NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	DOC. REF.	CITATION
J	NPL1	<a href="http://www.irrigation.com">http://www.irrigation.com</a> --as Archived on Feb. 19, 1999.--A trade web site on golf, agriculture and landscape irrigation.
	NPL2	Helfrich, Greg; Haas, Greg; Dubin Gary, "Software developed for disinfection rule compliance", Water Engineering & Management, 1993.
	NPL3	Erickson, Paul, "Determination of Minimum Pool Level for Quabbin Reservoir on the Basis of Water Quality Constraints", 1966.
	NPL4	Price et al. "Adapting a Patch Model to Simulate the Sensitivity of Central-Canadian Boreal Ecosystems to Climate Variability." Journal of Biogeography, vol. 26, No. 5, pp. 1101-1113, Sep. 1999.
	NPL5	Reynolds, C.S. "The Ecosystems Approach to Water Management" (abstract only). Journal of Aquatic Ecosystem Stress and Recovery, vol. 2, No. 1, Mar. 1993.
	NPL6	Risser, Paul G. "The Status of the Science Examining Ecotones." BioScience, vol. 45, No. 5, p. 318(8), May 1995.

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A	US9	4,040,629	08/1977	Kelly			
	US10	4,218,755	08/1980	Root			
	US11	4,342,125	08/1982	Hodge			
	US12	4,580,814	04/1986	Berler			
	US13	4,626,992	12/1986	Greaves <i>et al.</i>			
	US14	4,642,775	02/1987	Cline <i>et al.</i>			
	US15	4,766,539	08/1988	Fox			
	US16	4,784,150	11/1988	Voorhies <i>et al.</i>			

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EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
A	FP4	4-135271	05/1992	JP			Yes <input checked="" type="radio"/> No
	FP5	9-128411	05/1997	JP			Yes <input checked="" type="radio"/> No
	FP6	10-332840	12/1998	JP			Yes <input checked="" type="radio"/> No

## NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	DOC. REF.	CITATION
A	NPL7	Kickert et al. "Predictive Modeling of Effects Under Global Change." Environmental Pollution 100 (103), pp. 87-132, 1999.
	NPL8	International Search Report issued Jan. 8, 2001 for PCT/US01/11915, 4 pages.
	NPL9	Banham, R., "Reinsurers Seek Relief in Computer Predictions", August 1993, pp. 14-16, 18-19, XP002082269, p. 14, col. 1, line 1, col. 2, line 29.
	NPL10	Gagne, James, 'Fair-weather trends', May 1997, American Demographics, pp. 1-5.
	NPL11	Gotschall, Mary G., "Bullish on weather," <i>Electric Perspectives</i> , Washington, Vol. 23, No. 5, p. 30, 8 pgs (September/October 1998).
7	NPL12	<a href="http://www.bysb.com/sponsors/weathr.htm">http://www.bysb.com/sponsors/weathr.htm</a> .

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*John J. Jones*

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J	US17	5,063,506	11/1991	Brockwell <i>et al.</i>			
	US18	5,128,861	07/1992	Kagami <i>et al.</i>			
	US19	5,128,862	07/1992	Mueller <i>et al.</i>			
	US20	5,130,925	07/1992	Janes <i>et al.</i>			
	US21	5,140,523	08/1992	Frankel <i>et al.</i>			
	US22	5,168,445	12/1992	Kawashima <i>et al.</i>			
	US23	5,189,606	02/1993	Burns <i>et al.</i>			
	US24	5,208,665	05/1993	McCalley <i>et al.</i>			

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J	FP7	WO 98/22899	05/1998	PCT			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	FP						<input type="checkbox"/> Yes <input type="checkbox"/> No
	FP						<input type="checkbox"/> Yes <input type="checkbox"/> No

## NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	DOC. REF.	CITATION
J	NPL13	Hunter, R., "Forecast for Weather Derivatives: Hot Derivatives Strategy," May 1999, pp. 1-6, XP002133864, as printed from <a href="http://derivatives.com/magazine/arrive/1998/0598feal.asp">http://derivatives.com/magazine/arrive/1998/0598feal.asp</a> p. 1, line 1--p. 6, line 9.
	NPL14	"Japan-US Business Report, American Companies in Japan," <i>Software and Information Services</i> , vol. 1997, no. 335, August 31, 1997.
	NPL15	Karmin, Monroe W., "Inflation, Jobs and Interest Rates: Dangerous Territory," <i>U.S. News &amp; World Report</i> , vol. 108, no. 19., p. 50, May 14, 1990.
	NPL16	Lucchetti, A., "Cold Winter On the Way? Some bet on it," <i>Wall Street Journal</i> , November 6, 1997.
	NPL17	Malliaris, M., "Beating the Best: A Neutral Network Challenges the Black-Scholes Formula," <i>Proceedings of the Conference on Artificial Intelligence for Applications</i> , US, Los Alamitos, IEEE Comp. Soc. Press, 1993, pp. 445-449, XP000379639, ISBN; 0-8186-3840-0, p. 445, col. 1, line 16, p. 446, col. 1, line 17.
	NPL18	"Microsoft Expedia Travel Services Debuts on the Web," PR Newswire, October 22, 1996.

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				FIRST NAMED INVENTOR Frederic FOX		ART UNIT 3623	
				FILING DATE October 29, 2003			
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	US25	5,237,496	08/1993	Kagami <i>et al.</i>			
	US26	5,250,941	10/1993	McGregor <i>et al.</i>			
	US27	5,253,165	10/1993	Leiseca <i>et al.</i>			
	US28	5,253,181	10/1993	Marui <i>et al.</i>			
	US29	5,263,167	11/1993	Conner, Jr. <i>et al.</i>			
	US30	5,283,865	02/1994	Johnson			
	US31	5,295,064	03/1994	Malec <i>et al.</i>			
	US32	5,295,069	03/1994	Hersey <i>et al.</i>			
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	FP						Yes No
	FP						Yes No
	FP						Yes No
<b>NON PATENT LITERATURE DOCUMENTS</b>							
EXAMINER INITIAL	DOC. REF.	CITATION					
	NPL19	Ojala, Marydee, "The dollar sign: Weather databases online, " Database, vol. 18, no. 1, pp. 72-76, February/March 1995.					
	NPL20	"Origins of Option Pricing Techniques", "The Black and Scholes Model", "The Black and Scholes Model" and "Graphs of the Black Scholes Model", as printed from <a href="http://bradley.bradley.edu/about.art/bsm">http://bradley.bradley.edu/about.art/bsm</a> , Apr. 9, 1997, (8 pages).					
	NPL21	Pizano, A. <i>et al.</i> , "Automatic Generation of Graphical User Interfaces for Interactive Database Applications," <i>Proceedings of the 1993 International Conference on Information and Knowledge Management</i> , Association for Computing Machinery, pp. 344-355 (November 1993), XP009035258.					
	NPL22	Rao, S.R.; Thomas, Edward G; Javalgi, Rajshekhar G., 'Activity Preferences and Trip-Planning Behavior of the U.S. Outbound Pleasure Travel Market', Winter, 1992, <i>Journal of Travel Research</i> , pp 1-12.					
	NPL23	Schwartz, S., "Modeling tools aid in financial risk management," <i>Insurance &amp; Technology</i> , Vol. 21, No. 4, pp. 20-21 (April 1996).					
	NPL24	"Skyline Multimedia Entertainment, Inc. Announces Co-Marketing Pact With Internet's InfoSpace.com; Deal Marks Theme Entertainment Company's Expansion Into Tour Industry Services," <i>Business Wire</i> , September 4, 1998.					
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EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
J	US33	5,309,355	05/1994	Lockwood			
	US34	5,342,144	08/1994	McCarthy			
	US35	5,377,095	12/1994	Maeda <i>et al.</i>			
	US36	5,444,820	08/1995	Tzes <i>et al.</i>			
	US37	5,504,675	04/1996	Cragun <i>et al.</i>			
	US38	5,692,233	11/1997	Garman			
	US39	5,740,549	04/1998	Reilly <i>et al.</i>			
	US40	5,753,784	05/1998	Fischer <i>et al.</i>			

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	FP						Yes No
	FP						Yes No
	FP						Yes No

**NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIAL	DOC. REF.	CITATION
J	NPL25	Stix, G., "A Calculus of Risk," <i>Scientific American</i> , pp. 92-97 (May 1998).
	NPL26	Studwell, A., "Weather Derivatives," <i>11<sup>th</sup> Conference on Applied Climatology</i> , January 10-15, 1999, pp. 36-40, XP00089822, p. 36, col. 1, line 1-p. 40, col. 1, line 33.
	NPL27	Turvey, Calum G., "Weather Derivatives and Specific Event Risk," <i>Proceedings of the 1999 American Agricultural Economics Association Annual Meeting</i> , American Agricultural Economics Association (August 1999).
	NPL28	Turvey, Calum, "Weather Derivatives for Specific Event Risks in Agriculture," <i>Review of Agricultural Economics</i> , American Agricultural Economics Association, Vol. 23, No. 2, pp. 333-51 (Spring/Summer 2001).
	NPL29	Upbin, B., "Betting against God," <i>Forbes</i> , Vol. 162, No. 1, p. 108(1) (July 6, 1998).
	NPL30	'Unilicorp's Aquila energy to Greatly Expand it's Weather Hedging Products', Nov. 20, 1997, McGraw-Hill Publications, vol. 17, No. 1, p. 4.

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J	US41	5,768,586	06/1998	Zweben <i>et al.</i>			
	US42	5,787,283	07/1998	Chin <i>et al.</i>			
	US43	5,848,378	12/1998	Shelton <i>et al.</i>			
	US44	5,855,006	12/1998	Huemoeller <i>et al.</i>			
	US45	5,870,302	02/1999	Oliver			
	US46	5,884,286	03/1999	Daughtery, III			
	US47	5,909,671	06/1999	Byford <i>et al.</i>			
	US48	5,946,662	08/1999	Ettl <i>et al.</i>			

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	FP						Yes No
	FP						Yes No
	FP						Yes No

## NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	DOC. REF.	CITATION
M	NPL31	"WeatherPlanner Introduces Weather Forecasting Service for Consumers and Small Businesses," PR Newswire, November 11, 1997.
	NPL32	"WeatherPlanner Introduces Weather Forecasting Service for Skiers; Revolutionary Planning Tool Provides Forecasts up to 12 Months in Advance," PR Newswire, December 12, 1997.
	NPL33	"WeatherPlanner Introduces Weather Forecasting Service for Vacationers; Revolutionary Planning Tool Provides Forecasts up to 12 Months in Advance," PR Newswire, March 19, 1998.
	NPL34	"WeatherPlanner Introduces Weather Forecasting Service to Aid Brides in Planning Weddings and Honeymoons," PR Newswire, December 9, 1997.
	NPL35	"WeatherPlanner Offers Weather Forecasting Service for Outdoor Enthusiasts; Weather Forecasts Now Available up to 12 Months in Advance," PR Newswire, March 6, 1998.
	NPL36	"WeatherPlanner Tees Up Weather Forecasting Service for Golfers; Weather Forecasts Now Available up to 12 Months in Advance," PR Newswire, May 5, 1998.

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J	US49	5,974,395	10/1999	Bellini <i>et al.</i>			
	US50	5,979,363	11/1999	Shaar			
	US51	5,983,200	11/1999	Slotznick			
	US52	6,002,863	12/1999	Sheer <i>et al.</i>			
	US53	6,012,834	01/2000	Dueck <i>et al.</i>			
	US54	6,014,606	01/2000	Tu			
	US55	6,018,640	01/2000	Blackman <i>et al.</i>			
	US56	6,021,402	02/2000	Takriti			

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	FP						Yes No
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	FP						Yes No

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EXAMINER INITIAL	DOC. REF.	CITATION
J	NPL37	"Web WeatherPlanner Site for Weather Predictions," Newsbytes News Network, December 12, 1997.
	NPL38	Williams, Michael <i>et al.</i> , "Natural Gas Trends," Railroad Commission of Texas Gas Services Division Regulatory Analysis & Policy Section, July 28, 2003.
	NPL39	www.intellicast.com, Screen Print, July 4, 1998.
	NPL40	wysiwyg://171/http://www.aquilaenergy.com/northamerica/about/.
	NPL41	English Abstract of French Patent Publication No. 2 751 774, 1 page, data supplied from the esp@cenet database.
	NPL42	English Abstract of Japanese Patent Publication No. 9-128411, 1 page, data supplied from the esp@cenet database.

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J	US57	6,035,285	03/2000	Schlect <i>et al.</i>			
	US58	6,061,662	05/2000	Makivic			
	US59	6,067,525	05/2000	Johnson <i>et al.</i>			
	US60	6,104,582	08/2000	Cannon <i>et al.</i>			
	US61	6,108,662	08/2000	Hoskins <i>et al.</i>			
	US62	6,144,944	11/2000	Kurtzman <i>et al.</i>			
	US63	6,151,582	11/2000	Huang <i>et al.</i>			
	US64	6,173,276 B1	01/2001	Kant <i>et al.</i>			

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	FP						Yes No

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EXAMINER INITIAL	DOC. REF.	CITATION
J	NPL43	English Abstract Page for Japanese Patent Publication No. 10332840, 1 page, data supplied from the esp@cenet database.
	NPL44	International Search Report from PCT Appl. No. PCT/US93/11005, 6 pages, mailed Jun. 27, 1994.
	NPL45	International Search Report from PCT Appl. No. PCT/US95/00618, 5 pages, mailed May 3, 1995.
	NPL46	International Search Report from PCT Appl. No. PCT/US95/02557, 4 pages, mailed Jun. 8, 1995.
	NPL47	International Search Report from PCT Appl. No. PCT/US97/01075, 5 pages, mailed May 14 1997.
	NPL48	International Search Report from PCT Appl. No. PCT/US99/23452, 5 pages, mailed Jun. 4, 2000.

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J	US65	6,216,109 B1	04/2001	Zweben <i>et al.</i>			
	US66	6,263,321 B1	07/2001	Daughtery, III			
	US67	6,298,285 B1	10/2001	Addink <i>et al.</i>			
	US68	6,341,287 B1	01/2002	Sziklai <i>et al.</i>			
	US69	6,397,162 B1	05/2002	Ton			
	US70	6,405,134 B1	06/2002	Smith <i>et al.</i>			
	US71	6,405,179 B1	06/2002	Rebane			
	US72	6,453,215 B1	09/2002	Lavoie			

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	FP						Yes No
	FP						Yes No

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EXAMINER INITIAL	DOC. REF.	CITATION
J	NPL49	International Search Report from PCT Appl. No. PCT/US04/32440, 3 pages, mailed May 27, 2005.
	NPL50	Best, D.L. and Pryor, S.P., <i>Air Weather Service Model Output Statistics System Project Report</i> , United States Air Force, Entire Report submitted (Oct. 1983).
	NPL51	<i>Demand Modeling &amp; Forecasting System Product Description</i> , Printed from DIALOG File No. 256, 1 page (Apr. 1989--Product Release Date).
	NPL52	<i>Down to Earth Sales Analysis 3.1 Product Description</i> , Printed from DIALOG File No. 256, 1 page (Apr. 1989--Product Release Date).
	NPL53	Hurrell, M., "The Weather Business," <i>Intercity</i> , pp. 29, 31 and 32 (Feb. 1991).
	NPL54	<i>IMREX Demand Forecasting System Product Description</i> , Printed from DIALOG File No. 256, 1 page (1984--Product Release Date).

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g	US73	6,453,216 B1	09/2002	McCabe <i>et al.</i>			
	US74	6,505,123 B1	01/2003	Root <i>et al.</i>			
	US75	6,654,689 B1	11/2003	Kelly <i>et al.</i>			
	US76	6,753,784 B1	06/2004	Sznaider <i>et al.</i>			
	US77	6,985,837 B2	01/2006	Moon <i>et al.</i>			
	US78	7,031,927 B1	04/2006	Beck <i>et al.</i>			
	US79	7,069,232 B1	06/2006	Fox <i>et al.</i>			
	US80	7,080,018 B1	07/2006	Fox <i>et al.</i>			

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	FP						Yes No

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EXAMINER INITIAL	DOC. REF.	CITATION
g	NPL55	<i>The Weather Initiative</i> , (Brochure), The Met Office, 23 pages (1990).
	NPL56	<i>Microsoft Excel User's Guide</i> , Microsoft Corporation, pp. 280-281, 596-601 and 706-709 (1993).
	NPL57	Brennan Peter J., "Portfolio Managers Weather Global Risk Management Challenge," <i>Wall Street Computer Review</i> , Dealers Digest Inc., vol. 7, No. 1, pp. 20-22, 24, 54, 56, Oct. 1989.
	NPL58	Jensen, Cary and Anderson, Loy, <i>Harvard Graphics: The Complete Reference</i> , Osborne McGraw-Hill, pp. 5, 16, 17, 126-129, 737-747, 1990.
	NPL59	Cave, Tom, "Weather Service Is a Boon To System Dispatchers," <i>Transmission &amp; Distribution</i> , vol. 43, No. 8, pp. 165, 166, 168-169, Aug. 1991.
	NPL60	Mitchell <i>et al.</i> , "Where No Computer Has Gone Before: Massively Parallel Processing Promises Unparalleled Performance," McGraw-Hill Inc., <i>Business Week</i> , pp. 80-84, 88, Nov. 25, 1991.

EXAMINER

*Scott J. Davis*

DATE CONSIDERED

*10/16/2006*

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.  
1481.0310000APPLICATION NO.  
10/695,445FIRST SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENTFIRST NAMED INVENTOR  
Frederic FOXFILING DATE  
October 29, 2003ART UNIT  
3623

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
<i>g</i>	US	7,103,560 B1	09/2006	Fox et al.			
	US						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	FP						Yes No
	FP						Yes No
	FP						Yes No

## NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	DOC. REF.	CITATION
<i>g</i>	NPL61	Engle, R.F. et al, "Modelling Peak Electricity Demand," John Wiley & Sons, Ltd., <i>Journal of Forecasting</i> , vol. 11, No. 3, pp. 241-251, Apr. 1992.
	NPL62	Microsoft Access™ User's Guide, Microsoft Corporation, pp. 22-27, 36-39, 327-335, 370-373, 395-447, 1992.
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	NPL64	Patent Abstracts of Japan, JP 1236396, published Sep. 21, 1989.
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EXAMINER

*Full Jones*

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*6/16/2006*

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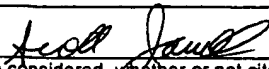
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	FP						Yes No

**NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIAL	DOC. REF.	CITATION
	NPL67	Patent Abstracts of Japan, JP 2299059, published Dec. 11, 1990.
	NPL68	Patent Abstracts of Japan, JP 4077896, published Mar. 11, 1992.
	NPL69	Patent Abstracts of Japan, JP 4135271, published May 8, 1992.
	NPL70	Patent Abstracts of Japan, JP 4353970, published Dec. 8, 1992.
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

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	FP						Yes No
	FP						Yes No

## NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL	DOC. REF.	CITATION
	NPL73	Patent Abstracts of Japan, JP 6149833, published May 31, 1994.
	NPL74	"SpaceRef.com", Space Shuttle Weather Launch Commit Criteria and KSC End of Mission Weather Landing Criteria, 1/29/2000; 9 pages.
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	NPL	
	NPL	

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